

## **ITEM 8 – Action**

April 20, 2011

Approval of an Amendment to the FY 2011-2016 TIP to Include the WMATA FY2012 Capital Improvement Program

### **Staff**

**Recommendation:** Adopt Resolution R16-2011 to approve an amendment to the FY 2011-2016 TIP to Include the WMATA FY2012 Capital Improvement Program.

**Issues:** None

**Background:** The purpose of this amendment is to modify project budgets and sources of funds in the TIP for FY 2012 in order to match those in WMATA's FY 2012 grant applications to the Federal Transit Administration (FTA) that were submitted on March 31, 2011. WMATA has submitted its grant applications at this time with the goal of receiving the funding by July 1, 2011.

**NATIONAL CAPITAL REGION TRANSPORTATION PLANNING BOARD  
777 North Capitol Street, N.E.  
Washington, D.C. 20002**

**RESOLUTION ON AN AMENDMENT TO  
THE FY 2011- 2016 TRANSPORTATION IMPROVEMENT PROGRAM (TIP) THAT IS  
EXEMPT FROM THE AIR QUALITY CONFORMITY REQUIREMENT TO UPDATE  
PROJECT INFORMATION FOR FY 2012 IN ORDER TO MATCH THE PROPOSED  
FY 2012 CAPITAL BUDGET, AS REQUESTED BY THE WASHINGTON  
METROPOLITAN AREA TRANSIT AUTHORITY (WMATA)**

**WHEREAS**, the National Capital Region Transportation Planning Board (TPB), which is the metropolitan planning organization (MPO) for the Washington Region, has the responsibility under the provisions of Safe, Accountable, Flexible, and Efficient Transportation Equity Act - A Legacy for Users (SAFETEA-LU) for developing and carrying out a continuing, cooperative and comprehensive transportation planning process for the Metropolitan Area; and

**WHEREAS**, the TIP is required by the Federal Highway Administration (FHWA) and the Federal Transit Administration (FTA) as a basis and condition for all federal funding assistance to state, local and regional agencies for transportation improvements within the Washington planning area; and

**WHEREAS**, on November 17, 2010 the TPB adopted the FY 2011-2016 TIP; and

**WHEREAS**, in the attached letter of April 13, 2011 WMATA has requested an amendment to the FY 2011-2016 TIP to update funding information and amounts in FY 2012 to match WMATA's proposed FY 2012 Capital Budget, as described in the attached materials; and

**WHEREAS**, the proposed changes are exempt from the air quality conformity requirement, as defined in Environmental Protection Agency (EPA) regulations "40 CFR Parts 51 and 93 Transportation Conformity Rule Amendments: Flexibility and Streamlining; Final Rule," issued in the May 6, 2005, *Federal Register*;

**NOW, THEREFORE, BE IT RESOLVED THAT** the National Capital Region Transportation Planning Board amends the FY 2011-2016 TIP update funding information and amounts in FY 2012 to match WMATA's proposed FY 2012 Capital Budget, as described in the attached materials.



April 13, 2011

The Honorable Muriel Bowser  
Chair, National Capital Region  
Transportation Planning Board  
Metropolitan Washington Council of Governments  
777 North Capitol Street, N.E.; Suite 300  
Washington, DC 20002-4201

RE: Approval of an Amendment to the FY 2011-2016 TIP to Update Project Information for FY 2012 in order to match the Proposed FY 2012 Capital Budget of the Washington Metropolitan Area Transit Authority (WMATA)

Dear Chair Bowser:

The region's six-year Transportation Improvement Program (TIP) outlines the schedule for obligating federal funds to state and local projects. The purpose of this amendment is to modify project budgets and sources of funds in the TIP for FY 2012 in order to match those in WMATA's FY 2012 grant applications to the Federal Transit Administration (FTA) that were submitted on March 31, 2011. WMATA has submitted its grant applications at this time with the goal of receiving the funding by July 1, 2011. This would allow WMATA to use the funds at the beginning of its fiscal year, and be consistent with its expenditure-based budget process. Because the changes for FY 2012 would cause changes in future years, this TIP amendment includes updated project allocations for FY2013-2016 as well, consistent with WMATA's proposed capital-improvement program (CIP) for FY 2012-2017.

Attachment A is a summary of the proposed FY 2012 project budgets and funding-source information for this TIP amendment. These funding sources include only new federal and local funds, and exclude funding that will be carried forward from prior years. As suggested at the April 1st TPB Technical Committee meeting, WMATA's TIP has been simplified by combining some of the projects into single items. Attachment B shows the FY 2012 project budgets that were submitted in 2010 as part of the currently adopted TIP as well as the proposed changes to each

**Washington  
Metropolitan Area  
Transit Authority**

600 Fifth Street, NW  
Washington, DC 20001  
202/962-1234

By Metrorail:  
Judiciary Square—Red Line  
Gallery Place-Chinatown—  
Red, Green and  
Yellow Lines  
By Metrobus:  
Routes D1, D3, D6, P6,  
70, 71, 80, X2

*A District of Columbia,  
Maryland and Virginia  
Transit Partnership*

budget. The TIP's overall FY 2012 capital program for WMATA would be reduced from \$749.8 million to \$715 million, reflecting the availability of federal, state and local funds, including the federal funds authorized under the Passenger Rail Investment and Improvement Act of 2008 (PRIIA), and the funding to match the federal funds. These TIP projects do not affect the currently approved air-quality-conformity analysis because these projects are either exempt or not regionally significant in terms of air quality.

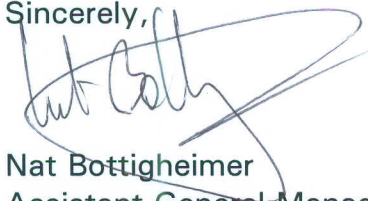
WMATA's submission for this FY 2011-2016 TIP amendment is structured into nine major categories, with 11 individual capital programs, as shown in Attachment A. The FY 2011 capital projects and funding levels are consistent with WMATA's approved FY2011 capital budget. The FY 2012-2016 capital projects and funding levels shown are consistent with the FY 2012-2017 CIP that has been proposed by WMATA's General Manager and Chief Executive Officer, and is under consideration by the WMATA Board. WMATA held a public hearing on the sources and uses of its capital funds on March 21, 2011.

In addition to the requirement of consistency with an approved TIP, the FTA requires that agency grant applications match the corresponding State Transportation Improvement Program (STIP) for that agency. WMATA's TIP is considered part of DC's STIP. If approved by the TPB, WMATA will request that this amendment be reflected in DC's STIP as soon as possible, to enable the FTA review that is anticipated to require 60 days.

If any changes are made to funding sources or to WMATA's FY 2012-2017 CIP, WMATA will request additional TIP amendments as needed.

WMATA requests that the TPB approve this amendment at its April 20, 2011 meeting.

Sincerely,

A handwritten signature in blue ink, appearing to read "Nat Bottigheimer", is written over a large, stylized blue scribble or flourish.

Nat Bottigheimer  
Assistant General Manager  
Department of Planning and Joint Development

Attachments



Washington Metropolitan Area Transit Authority  
Proposed Amendment to the FY11-16 Transportation Improvement Program - Funding Sources FY12  
April 20, 2011  
Attachment A  
(in YOE \$ Millions)

Category	Project	2012	Federal Grants 5307	Federal Grants 5309	Federal PRIIA Grants	CMAQ, Bus Facility, Bus Safety	Local Funding
Vehicles/Vehicle Parts	Rail Cars - Replacement, Rehabilitation, & Enhancements	\$61.9	\$0.0	\$1.7	\$29.9	\$0.0	\$30.3
	Buses - Replacement, Rehabilitation, & Enhancements	\$113.9	\$80.5	\$0.0	\$1.9	\$0.0	\$31.5
	Access & Service Vehicles	\$17.1	\$11.0	\$2.6	\$0.0	\$0.0	\$3.4
Rail System Infrastructure Rehabilitation							
Maintenance Facilities	Rail Line Segment Rehabilitation	\$89.1	\$0.0	\$41.8	\$18.4	\$0.0	\$28.9
	Maintenance Facilities	\$121.5	\$45.0	\$0.0	\$0.8	\$0.0	\$75.7
Systems and Technology	Systems and Technology	\$55.5	\$0.0	\$28.6	\$0.0	\$0.0	\$26.9
	Track & Structures	\$51.8	\$0.0	\$0.0	\$25.9	\$0.0	\$25.9
Passenger Facilities	Passenger Facilities	\$59.8	\$2.8	\$24.0	\$9.5	\$0.0	\$23.5
	Maintenance Equipment	\$130.7	\$0.0	\$2.3	\$62.4	\$0.0	\$66.0
Other Facilities	Other Facilities	\$4.4	\$0.0	\$0.2	\$1.3	\$0.0	\$2.9
	Credit Facility						
Project Management and Support	Project Management	\$5.3	\$0.0	\$0.0	\$0.0	\$0.0	\$5.3
	and Support						
	<b>Total Capital Improvement Program:</b>	<b>\$711.0</b>	<b>\$139.4</b>	<b>\$101.3</b>	<b>\$150.0</b>	<b>\$0.0</b>	<b>\$320.3</b>
Other/ Federal Earmarks	TIGER	\$1.1	\$0.0	\$0.0	\$0.0	\$1.1	\$0.0
	Bus and Bus Facilities	\$3.0	\$0.0	\$0.0	\$0.0	\$2.4	\$0.6
	<b>Total:</b>	<b>\$715.1</b>	<b>\$139.4</b>	<b>\$101.3</b>	<b>\$150.0</b>	<b>\$3.5</b>	<b>\$320.9</b>

Washington Metropolitan Area Transit Authority  
Proposed Amendment to the FY11-16 Transportation Improvement Program - Changes in Funding Sources FY12  
April 20, 2011  
Attachment B  
(in YOE \$ Millions)

Category	Project	Approved FY12 TIP Budget	Proposed FY12 TIP Budget	Change
Vehicles/Vehicle Parts	Rail Cars - Replacement, Rehabilitation, & Enhancements	\$58.5	\$61.9	\$3.4
	Buses - Replacement, Rehabilitation, & Enhancements	\$139.7	\$113.9	(\$25.8)
	Access & Service Vehicles	\$18.9	\$17.1	(\$1.8)
Rail System Infrastructure Rehabilitation	Rail Line Segment Rehabilitation	\$125.9	\$89.1	(\$36.8)
Maintenance Facilities	Maintenance Facilities	\$173.6	\$121.5	(\$52.1)
Systems and Technology	Systems and Technology	\$51.4	\$55.5	\$4.1
Track & Structures	Track and Structures	\$51.6	\$51.8	\$0.1
Passenger Facilities	Passenger Facilities	\$59.2	\$59.8	\$0.5
Maintenance Equipment	Maintenance Equipment	\$59.3	\$130.7	\$71.5
Other Facilities	Other Facilities	\$5.7	\$4.4	(\$1.3)
Project Management and Support	Credit Facility	\$6.0	\$5.3	(\$0.7)
	<b>Total Capital Improvement Program:</b>	<b>\$749.8</b>	<b>\$711.0</b>	<b>(\$38.9)</b>
Other/ Federal Earmarks	TIGER	\$0.0	\$1.1	\$1.1
	Bus and Bus Facilities	\$0.0	\$3.0	\$3.0
	<b>Total:</b>	<b>\$749.8</b>	<b>\$715.1</b>	<b>(\$34.8)</b>