# UPDATE ON THE DEVELOPMENT OF MAP-21 PERFORMANCE MEASURES

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### **Presentation Items**

- Performance Provisions Rulemaking Schedule
- Highway Safety Rulemaking
  - Highway Safety Improvement Program (HSIP) Final Rule
  - Safety Performance Measure Final Rule
- FHWA/FTA Notices of Funding Opportunity



### Rulemaking Schedule

|                                       | Planning Rules  USDOT Significant Rulemaking Report, as of March 16, 2016  | Proposed<br>Rulemaking  | Final<br>Rulemaking          |  |
|---------------------------------------|--|---|------------------------------|--|
| Planning                              | Statewide and Metropolitan Planning Rule   | June 2014   | July 2016                    |  |
| Highway<br>Safety                     | <ul> <li>Safety Performance Measures Rule</li> <li>Highway Safety Improvement Program<br/>(HSIP)</li> </ul>  | March 2014  | March 15, 2016               |  |
| Highway<br>Conditions                 | <ul><li>Pavement and Bridges Performance<br/>Measurement</li><li>Asset Management Plan</li></ul>   | January/February<br>2015  | September 2016               |  |
| Congestion /<br>System<br>performance | System Performance Measures Rule<br>(Congestion, Air Quality, and Freight)   | April 14, 2016  | ?                            |  |
| Transit                               | <ul> <li>Transit Asset Management</li> <li>National Public Transportation Safety Plan</li> <li>Public Transportation Agency Safety Plan</li> </ul> | September 2015<br>(Transit Asset)<br>February 5, 2016<br>(Transit Safety) | July 2016<br>(Transit Asset) |  |



### **HSIP Final Rule**

- Requires states to develop, implement, and evaluate annually an HSIP that reduces fatalities and serious injuries
- Describes the components of a state's HSIP program
  - A Strategic Highway Safety Plan (SHSP)
  - A Railway-Highway Crossing Program
  - A program of highway safety improvement projects (to be carried out as part of the Statewide and Metropolitan Planning Process)
- Requires states to:
  - Collect, maintain, and analyze safety data on all public roads
  - Periodically update their SHSPs
  - Regularly assess the results achieved by their program of safety improvement projects and evaluate their SHSPs

### <u>Differences from proposed rule</u>

 States are required to collect MIRE fundamental data elements on all public roads



### Safety Performance Measure Final Rule

| Provision  | State DOT | MPO      |
|--|-----------|----------|
| Establishes Safety Performance Measures  | <b>✓</b>  | <b>√</b> |
| Establishes a target setting process   | <b>√</b>  | ✓        |
| Describes how progress will be reported  | <b>✓</b>  | <b>√</b> |
| Describes how the FHWA will assess whether or not sufficient progress has been made        | <b>√</b>  |          |
| Describes the consequences for neither meeting the targets nor making significant progress | <b>√</b>  |          |



## Highway Safety Performance Measures: Expected Outcomes

- The amount and quality of safety data will be improved particularly with respect to serious injuries
- Greater transparency will be achieved by requiring fatality and serious injury data to be reported through a public reporting system
- Aggregation of targets and progress at the national level will be possible through improved data consistency
- State DOTs will meet or make significant progress toward their safety targets

#### **Furthermore:**

 State DOTs and MPOs are expected to use the information generated by these regulations to make investment decisions that will result in the greatest possible reductions in fatalities and serious injuries



# Highway Safety Performance Measures: Differences from Proposed Rule

- Establishment of a 5<sup>th</sup> performance measure for non-motorized fatalities and serious injuries
  - Definition of highway also includes facilities that serve pedestrians and bicyclists such as any publicly owned bicycle or pedestrian pathway or trail
- Removal of the requirement for MPOs to incorporate the procedure by which they report their established targets to the state DOT within the Metropolitan Planning Agreement. Instead this procedure will simply need to be documented in a manner that is mutually agreed upon by the MPO and DOT
- Allows state DOTs to add targets for multiple urbanized areas instead of only one urbanized area target for the entire state
- Allows MPOs to use their own VMT estimates (consistent with other federal reporting requirements) instead of requiring the use of HPMS



### Highway Safety Performance Measures and Data Sources

| Performance Measure  | Description  | Data Source  |
|--|--|--|
| Number of Fatalities (5 year rolling average)  | Total number of fatalities during a calendar year                      | FARS <sup>1</sup>  |
| Rate of Fatalities per 100 million VMT (5 year rolling average)                        | Ratio of total fatalities to VMT                                       | FARS and HPMS <sup>2</sup> (or MPO estimate)             |
| Number of Serious Injuries (5 year rolling average)                                    | Total number of serious injuries during a calendar year                | State reported serious injury data <sup>3</sup>          |
| Rate of Serious Injuries per 100 million VMT (5 year rolling average)                  | Ratio of total serious injuries to VMT                                 | State reported serious injury data <sup>3</sup> and HPMS |
| Number of Non-Motorized Fatalities and<br>Serious Injuries<br>(5 year rolling average) | Total number of fatalities and serious injuries during a calendar year | FARS and State serious injury data <sup>3</sup>          |

<sup>&</sup>lt;sup>1</sup> FARS: Fatality Analysis Reporting System



<sup>&</sup>lt;sup>2</sup> HPMS: Highway Performance Monitoring System

<sup>&</sup>lt;sup>3</sup> for the first 36 months – after that States must adopt the Model Minimum Uniform Crash Criteria (MMUCC) definition of serious injury

# Highway Safety Performance Measures: Target Setting

#### State DOTs

- Required to set statewide targets for each of the five performance measures
  - Each of these targets must be identical to those set by the State Highway Safety Office (SHSO)
  - Each target shall represent anticipated performance outcome for all public roadways in the State, regardless of ownership
  - Targets cannot be changed after they are reported
- In addition, state DOTs <u>may</u> establish additional targets for portions of the state as follows
  - Any number and combination of urbanized area boundaries wholly contained within the state, and/or
  - A single non-urbanized area target for all on the non-urbanized areas of the state



# Highway Safety Performance Measures: Target Setting

#### **MPOs**

- For each performance measure (PM), the MPO will either:
  - 1) Agree to plan and program projects so they contribute toward accomplishing the state DOT safety target for that PM, or
  - 2) Commit to a quantifiable target for that PM for the metropolitan planning area
    - Each target shall represent anticipated performance outcome for all public roadways in the metropolitan planning area, regardless of ownership
    - MPOs shall coordinate with the state DOT(s) to ensure consistency



# Highway Safety Performance Measures: Reporting

#### **State DOTs**

- Targets will be reported to FHWA in the State's HSIP annual report (due August 31 each year)
- Targets will begin to be reported in the 2017 HSIP annual report and each year thereafter in subsequent HSIP annual reports

#### **MPOs**

- MPOs will annually report targets to respective state DOTs in a manner that is documented and mutually agreed upon
- MPOs will also report on progress toward achieving their targets in their System Performance Report as part of their transportation plan
- Targets will begin to be reported no more than 180 days after state DOTs have set their targets (February, 2018)



# Highway Safety Performance Measures: FHWA Determination of Significant Progress

### **State DOTs**

- FHWA will only evaluate progress on the 5 statewide performance targets – <u>not</u> on any of the additional targets states might set for urbanized and non-urbanized areas
- A state is determined to have met or made significant progress toward meeting its targets when at least 4 of the targets are either:
  - Met; or
  - The outcome of a performance measure is less than the 5 year rolling average for that performance measure for the year prior to the establishment of the state target

**MPOs** – not applicable



# Highway Safety Performance Measures: Example

| Performance<br>Measure  | 2011 -<br>2015 | 2012-<br>2016<br>(baseline) | 2013-<br>2017 | 2014-<br>2018 | 2018<br>Target | Target<br>Met? | Better<br>than<br>Baseline? |
|---|----------------|-----------------------------|---------------|---------------|----------------|----------------|-----------------------------|
| Number of Fatalities  | 476.0          | 474.0                       | 473.0         | 472.4         | 468.0          | No             | Yes                         |
| Fatality Rate   | 0.994          | 0.988                       | 0.990         | 0.990         | 0.980          | No             | No                          |
| Number of<br>Serious Injuries                                     | 2,447.8        | 2,310.4                     | 2,219.2       | 2,185.6       | 2,160.0        | No             | Yes                         |
| Serious Injury<br>Rate  | 5.116          | 4.822                       | 4.644         | 4.584         | 4.572          | No             | Yes                         |
| Number of Non-<br>motorized<br>Fatalities and<br>Serious Injuries | 115.2          | 113.2                       | 110.0         | 109.4         | 110.0          | Yes            | N/A                         |



### Highway Safety Performance Measures: Consequences for Failing to Meet Targets or Making Significant Progress

#### State DOTs

- State DOTs that have not or made significant progress toward meeting safety performance targets must:
  - 1) Use a portion of their obligation only for HSIP projects, and;
  - Submit an annual implementation plan that describes actions the DOT will take to meet their targets

MPOs - not applicable



# Coordination of Performance Targets with Metropolitan Planning

- MPOs shall establish performance targets in coordination with targets of highway agencies transit providers.
- Metropolitan Transportation Plan shall include:
  - (1) a description of the performance measures and targets; and
  - (2) a report evaluating the condition of the system(s) with respect to the MPO performance measures and targets, including progress achieved.
- Transportation improvement programs (TIPs) must include a discussion of the anticipated effects of the TIP toward achieving the performance targets by linking investment priorities to those performance targets.



### **FHWA Notice of Funding Opportunities**

Advanced Transportation and Congestion Management Technologies Deployment (ATCMTD) Program - \$60M

- Pilot deployment of advanced transportation technologies to improve safety, efficiency, performance of highway, transit or other systems.
- Examples include: traveler info, performance and asset data monitoring & collection, electronic payment, vehicle safety systems, mobility/ridesharing applications
- Applications due: June 3

Surface Transportation System Funding Alternatives (STSFA) Program - \$15M

- Demonstrate user-based alternative revenue mechanisms that utilize a user fee structure to maintain the long-term solvency of the Federal Highway Trust Fund.
- Applications due: May 20. Only States are eligible applicants



### **FTA Notice of Funding Opportunities**

FY 16 Buses and Bus Facilities and the FY 16 Low-No Emissions Grant Programs - \$211M and \$55M

- Bus Grants: replace, rehabilitate, or lease buses, vans, or related equipment, and capital projects to rehabilitate, purchase or construct bus facilities.
- Low-No Emissions purchase or lease low or no emissions buses, power sources, and facilities.
- Applications due: May 13

Rides to Wellness (R2W) Demonstration and Innovative Coordinated Access and Mobility Grants- \$5.3M

- Demonstration of transportation healthcare access solutions.
- Examples include: mobility management, health and transportation provider partnerships, technology.
- Applications due: May 31



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