

National Capital Region Transportation Planning Board

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MEMORANDUM

DRAFT

October 25, 2013

TO: Technical Committee

FROM: Gerald Miller
Director, Program Coordination
Department of Transportation Planning

SUBJECT: Briefing on Amendment to the FY 2014 Unified Planning Work Program (UPWP) to Revise the Budget

Proposed FY 2014 UPWP Budget Revisions

Since the FY 2014 UPWP was approved in March, the funding allocations provided by DDOT, MDOT and VDOT have been revised to reflect changes in new FY 2014 funding and adjustments in the unobligated FY 2012 funding. This memorandum describes an amendment to revise the budget and work activities to reflect the funding changes. The TPB will be asked to approve this amendment at its meeting on November 20.

On March 20, 2013, the TPB approved the budget for the FY 2014 UPWP, which includes “new FY 2014 funds” that come from the federal FY 2013 budget and “unobligated FY 2012 funds” that are unexpended funds from the completed FY 2012 UPWP. As described below, the new funding total needs to be increased by \$966,365 and the unobligated FY 2012 total needs to be decreased by \$352,461. **The net result is an increase of \$613,904 for the total FY 2014 UPWP budget.**

Changes to the New FY 2014 Funding Totals

Because the federal FY 2013 budget had not been approved in February in time for the FY 2014 UPWP to be approved by the TPB in March, we assumed the allocations of new FY 2014 FTA Section 5303 and FHWA PL funding to be provided by the DOTs would be similar to the previous year. In July, the final federal FY 2013 MPO planning allocations, which determine the new FY 2014 funding for the UPWP, were determined by the DDOT, MDOT and VDOT. The commitments are shown in italics for each “New FY 2014” row in the attached Table 1 from the FY 2014 UPWP that was approved by the TPB in March. These allocations provide **a net increase of \$966,365** (including state and local matching funds) in new FY 2014 funding for the UPWP relative to the totals included in the FY 2014 UPWP approved in March.

Changes to the Unobligated FY 2012 Funding Totals

Based upon information from the DOTs some adjustments to the “unobligated FY 2012” assumed funding totals are needed. The adjustments to the FTA and FHWA totals are shown in for each “unobligated FY 2012” row in the attached Table 1 from the FY2014 UPWP. These adjustments result in a **net decrease of \$352,461** in the total unobligated FY2012 funding.

Changes to the Technical Assistance and Core Program Budgets

As shown in Table 1, the FY 2014 UPWP budget **is increased by a total of \$613,900** relative to the total approved by the TPB in March.

The technical assistance funding level for each state is an agreed percentage of the total new FY 2014 funding provided through the respective state. The technical assistance funding level for WMATA is an agreed percentage of the new FTA 2014 funding. Therefore, the budgets for the technical assistance programs in the District, Maryland and Virginia will increase by \$57,900, \$48,000 and \$24,600 respectively, while the WMATA program will increase by \$15,550.

Because the total for all of the **technical assistance programs increases by \$146,050, the net total funding for the core work program increases by \$467,850.**

Proposed Work Activity Budget Increases

A 3 percent across the board increase is proposed for the work activity budgets in the core work program for increases in salaries and other costs during the fiscal year. The last across the board increase of 2 percent for these budgets occurred in 2007. The 3 percent will account for \$330,000 of the \$467,850 total increase. The remaining \$137,900 is proposed to be allocated to specific work activities in the core work program.

Proposed 3 percent increases and specific increases to six work activities and the Technical Assistance Programs are shown in the attached Table A. Table B provides a summary of proposed work activities utilizing the additional funding. Table B is followed by pages from the UPWP with the proposed new work activities shown in bold.

TABLE 1 AMEND
FY 2014 TPB PROPOSED FUNDING BY FEDERAL, STATE AND LOCAL SOURCES
(July 1, 2013 to June 30, 2014)

	FTA SECT 5303 80% FED & 20% STA/ LOC	FHWA SECT 112 80% FED & 20% STA/ LOC	CHANGE IN FHWA FUNDING	TOTALS	CHANGE IN TOTAL FUNDING	
ALLOTMENTS PROVIDED BY DDOT						
NEW FY 2014	+53,531	468,172	1,773,583	+374,862	2,241,755	+428,393
UNOBLIGATED FY 2012	-27,617	55,740	179,023	-62,483	234,763	-90,100
CARRYOVER FY 2013		27,405	103,820		131,225	
SUBTOTAL	+44,031	551,317	2,056,426	+294,262	2,607,743	+338,293
ALLOTMENTS PROVIDED BY MDOT						
NEW FY 2014	+119,364	1,134,371	3,295,338	+236,429	4,429,709	+355,793
UNOBLIGATED FY 2012	-81,195	170,133	546,425	-108,905	716,558	-190,100
CARRYOVER FY 2013		143,233	416,092		559,325	
SUBTOTAL	+69,864	1,447,737	4,257,855	+95,829	5,705,592	+165,693
ALLOTMENTS PROVIDED BY VDRPT & VDOT						
NEW FY 2014	+21,426	912,243	3,007,926	+160,753	3,920,169	+182,179
UNOBLIGATED FY 2012	-41,344	113,243	363,707	-31,018	476,950	-72,362
CARRYOVER FY 2013		108,904	359,088		467,992	
SUBTOTAL	+15,526	1,134,390	3,730,721	+94,291	4,865,111	+109,817
TPB BASIC PROGRAM						
TOTAL NEW FY 2014	+194,321	2,514,786	8,076,847	+772,044	10,591,633	+966,365
UNOBLIGATED FY 2012	-64,799	339,116	1,089,155	-287,662	1,428,271	-352,461
SUBTOTAL	+129,522	2,853,902	9,166,002	+484,382	12,019,904	+613,904
TOTAL CARRYOVER FY 2013		279,542	879,000		1,158,542	
TOTAL BASIC PROGRAM	+129,522	3,133,444	10,045,002	+484,382	13,178,446	+613,904
GRAND TOTAL	+129,522	3,133,444	10,045,002	+484,382	13,662,828	+613,904

"New FY2014 funds" are newly authorized funds for the FY2014 UPWP

"Unobligated FY2012 funds" are unexpended funds from the completed FY2012 UPWP

"Carryover FY2013 funds" are programmed from the FY2013 UPWP to complete specific work tasks in the FY2014 UPWP

TABLE A WORK ACTIVITY BUDGET INCREASES FOR TABLE 2
TPB FY 2014 WORK PROGRAM BY FUNDING SOURCES

WORK ACTIVITY	CURRENT TOTAL COST	+3 PERCENT INCREASE	OTHER INCREASE	AMENDED	FINAL	% INCREASE
				BUDGET	INCREASE	
1. PLAN SUPPORT						0
A. Unified Planning Work Program (UPWP)	70,700	2,121		72,800	2,100	3
B. Transp Improvement Program (TIP)	240,600	7,218		247,800	7,200	3
C. Constrained Long-Range Plan	588,400	17,652		606,000	17,600	3
D. Financial Plan	64,000	1,920		66,000	2,000	3
E. Public Participation	421,900	12,657		434,600	12,700	3
F. Private Enterprise Participation	18,300	549		18,800	500	3
G. Annual Report	80,100	2,403		82,500	2,400	3
H. Transportation/Land Use Connection Program	395,000	11,850	23,400	430,250	35,250	9
I. DTP Management	450,700	13,521		464,200	13,500	3
Subtotal	2,329,700	69,891		2,422,950	93,250	4
2. COORDINATION PLANNING						
A. Congestion Management Process (CMP)	205,000	6,150		211,000	6,000	3
B. Management, Operations, and ITS Planning	340,300	10,209		350,500	10,200	3
C. Transportation Emergency Preparedness Planning	75,400	2,262		77,600	2,200	3
D. Transportation Safety Planning	125,000	3,750		128,800	3,800	3
E. Bicycle and Pedestrian Planning	108,700	3,261	13,000	125,000	16,300	15
F. Regional Bus Planning	100,000	3,000	26,000	129,000	29,000	29
G. Human Services Transportation Coordination	114,800	3,444	23,000	141,200	26,400	23
H. Freight Planning	150,000	4,500		154,500	4,500	3
I. MATOC Program Planning & Support	120,000	3,600		123,600	3,600	3
Subtotal	1,339,200	40,176		1,441,200	102,000	8
3. FORECASTING APPLICATIONS						
A. Air Quality Conformance	563,200	16,896	4,560	584,600	21,400	4
B. Mobile Emission Analysis	640,100	19,203	47,940	707,200	67,100	10
C. Regional Studies	516,300	15,489		531,800	15,500	3
D. Coord Coop Forecasting & Transportation Planning	806,800	24,204		831,000	24,200	3
Subtotal	2,526,400	75,792		2,654,600	128,200	5
4. DEVELOPMENT OF NETWORKS/MODELS						
A. Network Development	769,700	23,091		792,800	23,100	3
B. GIS Technical Support	648,800	19,464		668,300	19,500	3
C. Models Development	1,071,200	32,136		1,103,300	32,100	3
D. Software Support	178,900	5,367		184,300	5,400	3
Subtotal	2,668,600	80,058		2,748,700	80,100	3
5. TRAVEL MONITORING						
A. Cordon Counts	250,800	7,524		258,300	7,500	3
B. Congestion Monitoring and Analysis	440,000	13,200		453,200	13,200	3
C. Travel Survey and Analysis		0			0	
Household Travel Survey	1,136,300	34,089		1,170,400	34,100	3
		0			0	
D. Regional Transportation Clearinghouse	317,900	9,537		327,400	9,500	3
Subtotal	2,145,000	64,350		2,209,300	64,300	3
Core Program Total (1 to 5)	11,008,900	333,000	137,900	11,476,750	467,850	4
6. TECHNICAL ASSISTANCE						
A. District of Columbia	302,604		57,900	360,504	57,900	19
B. Maryland	898,024		48,000	946,024	48,000	5
C. Virginia	767,718		24,600	792,318	24,600	3
D. WMATA	201,200		15,500	216,700	15,500	8
Subtotal	2,169,546		146,000	2,315,546	146,000	7
Total, Basic Program	13,178,446	333,000	283,900	13,792,296	613,850	4.7

TABLE B: Proposed Specific Work Activity Budget Increases in FY 2014 UPWP

Work Task	Budget Increase	Activity
1. PLAN SUPPORT		
H. Transportation/Land Use Connection Program	\$23,400	Support project selection under MAP-21 Transportation Alternatives Program (TAP)
2. COORDINATION PLANNING		
E. Bicycle and Pedestrian Planning	13,000	Enhance monitoring of Complete Streets and Green Streets activities
F. Regional Bus Planning	26,000	Coordinate discussion of MAP-21 performance measures and targets; enhance inter-operability coordination and planning among WMATA, local bus, streetcar, light rail, commuter bus and commuter rail
G. Human Service Transportation Coordination	23,000	Support the implementation of 5310 Enhanced Mobility Program under MAP-21
3. FORECASTING APPLICATIONS		
A. Air Quality Conformity	4,560 ¹	Increase for COG staff support for MWAQC
B. Mobile Emissions Analysis	12,100 ¹ 35,840 ¹	-Increase for COG staff support for MWAQC -Develop 2012 greenhouse gas emission inventories for local jurisdictions
Core Program Total		
	137,900	
6. TECHNICAL ASSISTANCE		
A. District of Columbia	57,900	To be programmed
B. Maryland	48,000	
C. Virginia	24,600	
D. WMATA	15,500	
Subtotal	146,000	
Total, Basic Program		
	283,900	

1. Total increase (3% + 7%) for COG staff support for MWAQC is \$16,660.