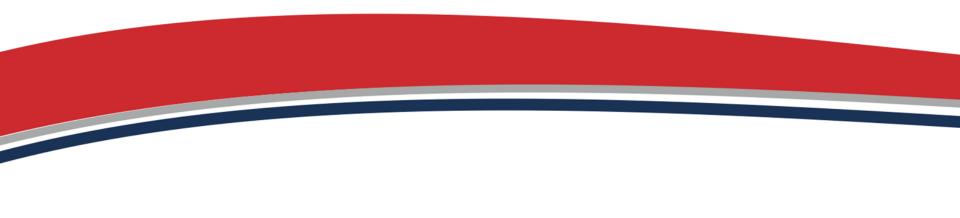


Overview – TOC's Transition to the MSC

December 16, 2015





TOC Background



Progress to-date

Interstate Compact

2

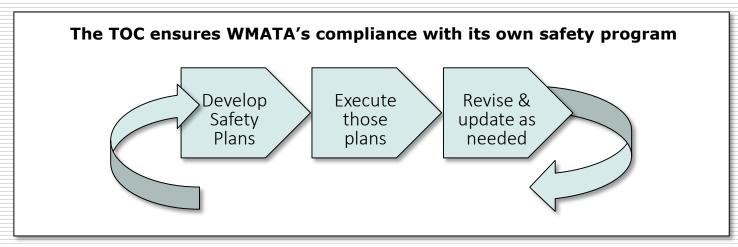




Tri-State Oversight Committee [TOC]

State Safety Oversight [SSO] agency for Metro Rail

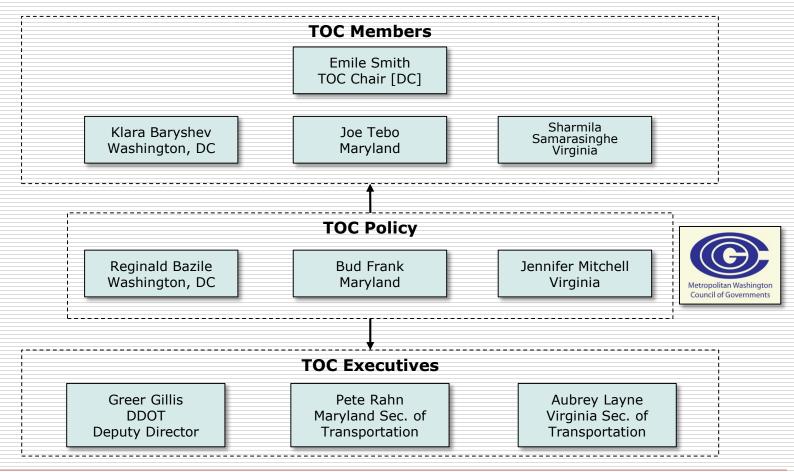
Established by a 1997 MOU among DC, MD, and VA



3

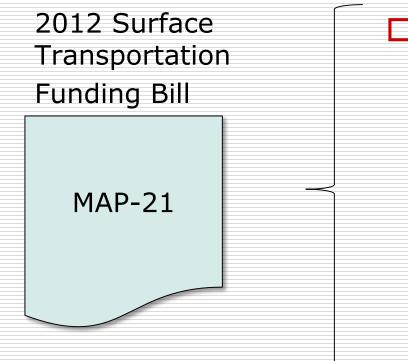


TOC Organization





In 2012, MAP-21 set new standards for SSOs



- New standards for State Safety Oversight agencies [SSOs]
 - Legal entity
 - Fully independent
 - Staff qualifications and training
 - Enforcement authority

DC, MD, and VA have committed to replacing the TOC with the Metro Safety Commission [MSC]



Plans to improve Metro safety oversight

Optimizing State Safety Oversight of the WMATA Metro Rail System

White Paper

April 20, 2010

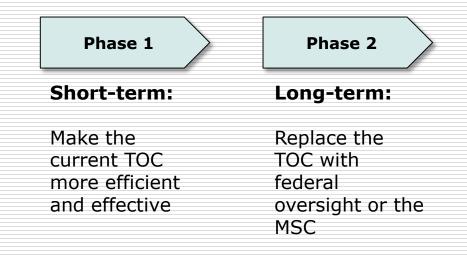


presented by The District of Columbia, the State of Maryland, and the Commonwealth of Virginia





The White Paper proposes WMATA rail safety oversight improvements in two distinct phases



6

Improving the current TOC – Progress since 2010

Phase 1

Selected improvements to the TOC

- Appointment of fulltime TOC staff in all three jurisdictions
- Establishment of the TOC Policy team
- Extended term for the TOC Chairman; from one year to two years
- Increased funding for consulting support

- 2010 TOC-WMATA MOU
- Strengthening TOC
 SOPs beyond 49 CFR
 Part 659 requirements
 - Accident/incidents
 - Corrective Action Plans [CAPs]
 - Triennial Reviews
 - Safety Certifications



Direct FTA oversight of WMATA

FTA leadership of day-to-day WMATA safety oversight



- US DoT Secretary Foxx announced on October 9, 2015
- DC, MD, and VA welcome partnership in promoting WMATA safety
 - Primary focus on CAPs & accidents/incidents
- The FTA experience will inform the TOC/MSC transition
 - Staffing
 - Enforcement authority



Detailed plans to replace the TOC were developed in 2014

- Following passage of MAP-21, the TOC, like most SSOs was declared as non-compliant
- DC, MD, and VA prepared a Certification Work Plan [CWP]
 - Approved by the FTA in August 2014
- The effort to replace the TOC with a fully compliant Metro Safety Commission [MSC] is underway



Replacing the TOC with the MSC – selected actions

Phase 2

Selected actions - Replacing the TOC with the MSC

- Approved Certification Work Plan [CWP]
- Appointed COG as designated recipient of FTA grant funds
 - Designation letters signed by transport executives in DC, MD, and VA
 - COG MOU signed April 2015

- Secured \$1.6M in FTA grant funding
- Began researching org structure of SSOs and other organizations
- Drafting enabling legislation



DC, MD, and VA face a unique challenge in replacing the TOC

Ratification of an MSC interstate compact Draft Compact Language Agree and document Approval in DC, MD, VA decisions regarding: Governance 3 Org Structure Legislative Calendar **Congressional Ratification** Independence Challenge Enforcement authority District of Columbia • • MAP-21 legislation Two month summer does not excuse us Get the language right recess from this step the first time: Maryland Close of compact Sovereignty □90 days, Jan-Mar process triggers the

□60 days, Jan-Feb

Virginia

 Changes require consent of all parties

practical stand-up of

the MSC

Overview – MSC transition

