



REQUEST FOR QUALIFICATIONS NO. 20-002

TECHNICAL ASSISTANCE FOR THE TRANSPORTATION/LAND-USE CONNECTIONS ("TLC") PROGRAM

Offerors shall submit one (1) ELECTRONIC COPY via Lockbox

See submission response instructions in Section VIII

Submission Deadline: May 7, 2020

Time: 2:00 PM EST

The Metropolitan Washington Council of Governments

The Metropolitan Washington Council of Governments (“COG”) is the regional organization of the Washington area's major local governments and their governing officials, plus area members of the Maryland and Virginia legislatures and the U.S. Senate and House of Representatives. The Washington metropolitan area is comprised of the District of Columbia, and adjacent jurisdictions in Maryland and Northern Virginia.

The National Capital Region Transportation Planning Board

The National Capital Region Transportation Planning Board (“TPB”) is designated under federal law as a Metropolitan Planning Organization (“MPO”) and is responsible for coordinating metropolitan transportation planning for the Washington region. Among other things, federal law requires the TPB to develop a long-range financially constrained transportation plan for the region, which includes Northern Virginia, Suburban Maryland and the District of Columbia. Members of the TPB include representatives of the transportation agencies of the states of Maryland and Virginia and the District of Columbia, local governments, the Washington Metropolitan Area Transit Authority (“WMATA”), the Maryland and Virginia General Assemblies, non-voting members from the Metropolitan Washington Airports Authority (“MWAA”) and federal agencies. Staff for the TPB is provided by COG.

I. BACKGROUND

The TPB initiated the Transportation/Land-Use Connections (“TLC”) program in 2007 to provide support to local jurisdictions as they deal with the challenges of integrating land use and transportation planning at the community level. The program is designed to share success stories and proven tools with local governments and agencies across the National Capital Region.

The TLC program is rooted in long-standing TPB policies and planning efforts. In 1998, the TPB adopted the regional transportation policy framework, the Vision, which identified regional goals for our future transportation system. These goals included the promotion of regional activity centers, a decrease in driving (measured as per capita “vehicle miles of travel” or “VMT”) and an increase in transit use. More recently, the TPB’s Regional Transportation Priorities Plan and COG’s Region Forward plan, along with the TPB’s new long-range plan Visualize 2045, have shown that certain actions, based on the goals of the plans, can make positive impacts on future travel conditions. These actions include locating jobs and housing in dense, mixed-use regional Activity Centers, promoting development closer to transit stations, and improving multimodal transportation options.

TPB outreach activities have also shown that, while community leaders and citizens might agree with the board’s regional goals and strategies, they often have valid apprehensions about local impacts. Facing increased densities and other growth pressures, many people are concerned about traffic impacts, affordable housing and/or changes in community identity. In some cases, citizens and local planners want to know what small improvements – such as streetscaping, sidewalks, or lighting – can

make a good project even better.

The TLC program helps to address some of these local concerns by providing technical assistance to neighborhood and jurisdictional-level planning efforts, funding through the Transportation Alternatives Set-Aside Program, and information sharing through the Regional Peer Exchange Network. The program facilitates proactive, innovative solutions to local challenges, and shares information among local leaders, professional planning staff and other stakeholders throughout the region.

Most of the TLC program’s work has focused on consultant-provided technical assistance to local jurisdictions. This Request for Qualifications is intended to solicit consultants for this technical assistance.

Since its inception, TLC technical assistance has grown in an incremental fashion. To date, the program has implemented 130 projects utilizing annual rounds of funding, beginning in 2007. Projects have addressed key issues including bicycle and pedestrian planning, urban roadway design standards, affordable housing and Transit Oriented Development. Information about these projects can be found at the TLC website, www.mwcog.org/tlc.

Overall, the program has been well received. Participants note that TLC technical assistance provided them an opportunity to perform planning activities that otherwise might not have gotten focused attention or might not been done at all.

In evaluations of the TLC program, participants have emphasized the value of the program’s rapid-response approach to technical assistance. They note that the limited timeframe and budget helped produce tailored, meaningful results that did not get bogged down as longer studies often do. In addition, participants appreciate the program’s value in bringing stakeholders together in ways that might not have occurred otherwise. The process of participation in a regional technical assistance program has encouraged participants to think regionally and include a variety of partners in their projects.

It should be emphasized that the end-products of the TLC program should not be viewed simply as discrete reports. Rather, the projects funded through the TLC program are designed to fit into more extensive planning activities and serve as models for other jurisdictions in the region. In many cases, the “products” include the relationships that are enhanced when stakeholders are convened through TLC projects, or the issues and opportunities that are clarified and highlighted through focused, short-term technical assistance.

II. SCOPE OF REQUIRED SERVICES AND TASKS

- A. Since 2007, COG/TPB has maintained a list of pre-qualified consultants that is used to solicit proposals for TLC technical assistance projects. This RFQ is intended to update the list of pre-qualified consultants. Firms submitting acceptable qualifications will be notified and added to the list. Firms that previously submitted qualifications shall remain on the pre-qualified list of TLC consultants, although their qualifications

statements must not be older than three (3) years to be considered for the upcoming slate of projects.

- B. As the need for assistance arises, COG notifies individuals and firms from the prequalified list regarding upcoming technical assistance projects and seeks quotes and availability to conduct the services or tasks in accordance with the required schedule. Not being available to accept one task will not disqualify a contractor from being offered future tasks.
- C. If firms that are not currently on the list of pre-qualified consultants wish to participate in the consultant selection process for the FY 2021 round of TLC projects, they must submit qualifications by the deadline for this RFQ.
- D. After that deadline, all firms on the pre-qualified list will be given a set amount of time to complete a short questionnaire expressing their level of interest regarding the new projects for the coming year.

Firms that do not wish to submit proposals for the FY 2021 projects but wish to be eligible for future rounds of projects may still submit qualifications to be eligible to participate in future rounds of projects.

- E. TLC projects typically fall within one or more of the categories listed below, although this list is not intended to be exhaustive of the types of projects that are funded through the program:
 - 1. Corridor and transit station area planning
 - 2. Bicycle and pedestrian safety and access studies
 - 3. Trail planning and design
 - 4. Safe Routes to School planning
 - 5. Transit-oriented development studies
 - 6. Mixed-use/TOD market analysis
 - 7. Zoning and development design standards
 - 8. Transit demand and feasibility analysis
 - 9. Parking management planning
 - 10. Transit demand analysis
 - 11. Freight planning
 - 12. Housing analysis
 - 13. Streetscape and public space plans

III. DEFINITIONS USED IN THIS DOCUMENT

COG	The Metropolitan Washington Council of Governments
Contracting Officer	The Executive Director of the Metropolitan Washington Council of Governments
Offeror	The term used throughout this document to describe the individual or organization awarded the prime contract based on this solicitation.
Subcontractor	Any additional subcontractor hired by either the offeror or a subcontractor.
Technical Selection Committee	The Committee established to review the proposals received under this solicitation and recommend selection of offerors to the COG Contracting Officer.
TPB	The National Capital Region Transportation Planning Board

IV. TYPE OF CONTRACT AND PERIOD OF PERFORMANCE

- A. COG is using this RFQ to update the TLC program’s list of pre-qualified consultants. That list will be used to solicit bids for TLC technical assistance projects. COG shall award fixed-price contracts, at its option, to the selected contractors. It is anticipated that the period of performance of any contract will be from execution through a period not to exceed one (1) year. At present, funding for the program has been provided through June 30, 2021, the end of the next fiscal year. However, COG/TPB intends to use the list of pre-qualified consultants beyond Fiscal Year 2021. COG reserves the right to issue a supplemental solicitation at any time during this period or qualify additional firms as needed.
- B. It is anticipated that the dollar amounts for each contract awarded to pre-qualified consultants will vary based on need. For projects in FY 2021, COG/TPB currently intends to fund projects at varying levels within a range of \$30,000 to \$80,000. However, COG reserves the right to use the pre-qualified list to procure contractors for projects that may exceed \$80,000 or for less than \$30,000.
- C. COG/TPB invites all eligible bidders, i.e., those included on the list of pre-qualified consultants, to participate in the consultant selection process for TLC projects. In addition to for-profit firms, the list of pre-qualified consultants may include individuals, non-profit organizations, and academic institutions.

V. SPECIAL CONDITIONS

- A. Federal, state, local, or foreign taxes are not allowable.
- B. Legal fees of any type are not allowable without prior written approval of COG.

- C. In the event the project is terminated by COG, the Offeror will be paid for work actually performed up to the effective date of termination.
- D. Any work to be subcontracted shall be clearly identified and such "Subcontractor" shall be approved by COG prior to contract issuance.
- E. The Offeror, acting as an independent contractor, shall hold COG harmless from and shall be solely responsible, where found liable, for the payment of any and all claims for loss, personal injury, death, property damage, or otherwise, arising out of any act of omission or negligence of its employees, agents, or subcontractors in connection with the performance of this work.
- F. In case of failure by the Offeror to perform, or have performed, the duties and obligations imposed by the resulting contract, COG may, upon verbal notice, to be confirmed in writing, procure the necessary services from other sources and hold the Offeror responsible for any and all additional costs occasioned thereby.
- G. The Offeror covenants that it presently has no interest, shall not acquire any interest, direct or indirect, which would conflict in any manner or degree with the performance of services required to be performed under the resulting contract. The Offeror further covenants that in the performance of this contract, no person having any such interest shall be employed.
- H. It is understood that should, for any reason, the funding sources for the proposed contract terminate their funding arrangements with COG, COG shall notify the Offeror that its contract is terminated, as of the date of the effective date of notification, until such time as COG's funding sources resume payments to COG.
- I. Should funding from COG's funding sources be delayed for any reason, COG shall make a concomitant delay in payment to the Offeror.

VI. INSTRUCTIONS, CONDITIONS AND NOTICES TO OFFERORS

- A. COG is the procuring entity, and this procurement transaction will, in all aspects, and as required by the applicable grant conditions, be governed by COG's own procurement policy and procedures.
- B. A copy of COG's procurement policy is available to potential Offeror(s), at <https://www.mwcog.org/documents/2018/10/11/cog-procurement-policy-bids/rfps/>.
- C. COG intends to award one (1) or more contracts resulting from this solicitation to qualified, responsible Offeror(s) whose submissions conform to the requirements of this RFQ and whose proposals are most advantageous to COG.
- D. The final recommendation for selection to the COG Contracting Officer may be made based upon discussions and/or a best and final offer submitted by the Offeror(s), if recommended by the Technical Selection Committee.

VII. SUBMISSIONS

A. Late Submissions

1. Any submission received for this RFQ after the exact time specified for receipt, will not be considered, unless it is the only submission received.
2. Submissions may be withdrawn by written or telephonic notice received at any time prior to selection.

B. Questions

1. All questions concerning the RFQ must be submitted in writing to the Contracts and Purchasing Office at purchasing@mwkog.org at least five (5) business day prior to the final RFQ deadline.
2. All questions will be answered and posted on COG’s website as an addendum to this RFQ within three (3) business days before the submission deadline.
3. No questions will be accepted following the cut-off date.

C. Exceptions

Proposers should note any exceptions of the RFQ specifications or terms and conditions on a separate sheet marked exceptions attached to the submission. Exceptions taken do not obligate COG to change the specifications.

- ### D. Any proprietary information revealed in the submission should be clearly identified as such.

VIII. SUBMISSION DATE AND CONTACT

- ### A. Qualifications shall be submitted by no later than 2:00 p.m. May 7, 2020 EST.

- ### B. Proposals may not be submitted through hard copy, fax or other electronic methods except as designated below.

- ### C. Please use the RFQ number and your firm name in the file name of your electronic submission.

- ### D. Offerors shall submit one (1) electronic copy of their qualifications to the COG Lockbox system as per the below directions.

1. Proposers shall submit one electronic copy to the COG “Lockbox” system in the following fashion:

- a. Registration – To utilize the “LOCKBOX” service, your agency must be

registered on the Mid-Atlantic Purchasing Team Vendor Registration System (VRS) portal at <https://mwcog.net>.

If you are not registered please do this before accessing the LOCKBOX.

To register:

- Go to the portal at <https://mwcog.net> and click the Vendors listing the left menu on the page.
- Click Register and fill out the form. NOTE: You will need your company information including your TIN/EIN number if you are a company or your SS if you are a sole proprietor.
- Registering will give you access to the LOCKBOX solicitations.

- b. Submission – Once registered in the VRS system go to the website at <https://mwcog.net> and click on Solicitation Listings tab.

Those solicitations utilizing the VRS Lockbox service will be highlighted with a LOCKBOX button.

Click on the LOCKBOX button.

If your agency is interested in submitting a response to this solicitation, click on the REQUEST button. After providing the VRS vendor ID and VIN, you will receive a one-time use bid id and password by email.

Use your credentials for the following:

- To submit a question to the buyer
- To upload your formal bid response and any additional attachments to the lockbox (before the closing time for the solicitation)
- Please limit size of individual files to 10 MB
- To withdraw your formal response should you wish to cancel your submission or to allow you to replace an already uploaded copy with a modified version
- To verify that the document in the lockbox is the one you uploaded.

If no vendor ID is provided, you will be directed to the registration page.

If you would like to ensure that your company details are correct prior to your upload, then logon to VRS using your VRS vendor ID and VIN then jump to the vendor summary page in VRS to make any changes desired.

To return to the MWCOC solicitation page, after registering or updating your company details, click SOLICITATION LISTINGS button on the VRS home page followed by clicking on the VIEW SOLICITATIONS button for MWCOC on the PENDING SOLICITATIONS page.

2. Please allow at least 2 business days prior to due date to complete the registration. Do not until the last moment to register.
3. DO NOT email submissions directly to COG. They will be disqualified.

IX. STRUCTURE OF SUBMISSIONS

Respondents must follow the prescribed format, or they may be deemed nonresponsive.

Adherence to the submission format by all respondents will ensure a fair evaluation and one which can evaluate each response with regard to the needs of COG. The letter transmitting the submission must be signed by an officer authorized to bind the respondent as required by this RFQ on the RFQ Response Form (Section XIV).

The written submission shall contain the information described under the headings below:

A. Qualifications of the Firms and Personnel

1. If Offeror is a firm, non-profit organization, academic institution, or other organization: This section shall provide the professional credentials and experience of the organization and any key personnel proposed for this contract. Any submission missing such contract-specific information may be considered nonresponsive. Although standard personnel resumes may be included as attachments to the submission, amplification, specific to this RFQ, is required in this section. The entity must also note any federal, state, or local DBE certifications it holds.
2. If Offeror is an individual: Please provide professional credentials and experience in which the individual is interested in being awarded a contract. The individual also must note any federal, state, or local DBE certifications it holds.

B. Cost Proposals

1. If Offeror is an organization: Please provide the fully burdened hourly rates for all personnel that may be made available for projects. Also, please indicate other costs that may be associated with contract performance, including expenses related to travel.
2. If Offeror is an individual: Please provide your hourly rate. Also, please indicate other costs that may be associated with contract performance, including expenses related to travel.

C. References

1. The Offeror shall provide a minimum of at least three (3) references on the form provide (Attachment C).
2. Names, titles, addresses, and telephone numbers shall be included for each reference.
3. References should include past COG related work in which key personnel have served (Please attach to reference form).

X. PROPOSAL DOCUMENT ORGANIZATION

Offerors shall submit a letter of interest, as a cover page to the attention of Mr. Rick Konrad, COG Contracts and Purchasing Manager, signed by an authorized principal or agent of the Offeror, which provides an overview of the submission, as well as, the name, title, and phone number of the person to whom questions may be directed concerning the submission.

The letter should also include a statement by the Offeror accepting all terms and conditions contained in this RFQ, the written submission shall be organized to match the headings delineated below:

- A. Letter of Interest
- B. Response Form and Checklist (Section XIV)
- C. Table of Contents
- D. Executive Summary
- E. Qualifications of the Firm and Personnel
- F. Cost Proposal
- G. References
- H. DBE Participation Plan (optional)

XI. METHOD OF EVALUATING PROPOSALS AND OFFEROR SELECTION

This RFQ seeks to update a list of pre-qualified consultants that will be used at a later date to solicit proposals for TLC projects. The criteria that will be used to evaluate submissions are described below.

A. Evaluation of Proposal Submissions

At the time of selection of offerors for specific projects, submissions will be evaluated by a TLC Technical Selection Committee. In evaluating the submissions, the following factors will be considered, with points awarded up to the maximum value shown:

Factors	Points
<i>1. Understanding of the Project</i>	35
<i>2. Experience & Qualifications of the Offeror(s) and Key Personnel</i>	25
<i>3. Cost and Price Analysis</i>	15
<i>4. Management Plan</i>	10
<i>5. Disadvantaged Business Enterprise (“DBE”)</i>	15
<i>Maximum Total Points</i>	100

XII. EQUAL EMPLOYMENT OPPORTUNITY

- A. The terms and conditions set forth within Attachment A in this RFQ, are incorporated by reference as if set forth herein verbatim. In submitting a response to this RFQ, and in performing services under any contract resulting from this RFQ, the successful Offeror shall be bound to comply with all of the terms, conditions and requirements referenced within Attachment A.

- B. In connection with the execution of any Contract resulting from this RFQ, the Offeror shall not discriminate against any employee or applicant for employment because of race, religion, color, sex, age or national origin. The Offeror shall take affirmative action to ensure that employees are treated equally and fairly during their employment, without regard to their race, religion, color, sex, age, or national origin. Such actions shall include but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay, or other forms of compensation, and selection of training, including apprenticeship.

- C. No qualified disabled applicant for employment, or employee, shall, on the basis of disability, be subjected by the Offeror to discrimination in employment under any program or activity that receives or benefits from financial assistance under any Contract resulting from this RFQ.

- D. Offeror shall make reasonable accommodation to the known disabilities of an otherwise qualified applicant for employment, or current employee, unless the Offeror can demonstrate to COG that the accommodation would impose an undue hardship on the operation of the Project. Reasonable accommodation shall be as defined in Title 49, Code of Federal Regulations, Part 27.33.

XIII. DISADVANTAGED BUSINESS ENTERPRISE

- 1. Disadvantaged Business Enterprise (“DBE”) participation shall be an integral component of the Contractor selection process for this RFQ. COG has established a DBE goal of 15% for this project. COG's DBE Policy may be viewed on its website www.mwcog.org. Responding firms shall submit with their proposals a DBE Participation Plan to meet this goal. The plan shall identify any DBE (defined in 49 CFR Part 26) that shall be participating in the project. The plan shall include the name and address of the firm, a copy of the firm's current DBE Certification from any federal, state or local government agency that certifies DBE ownership (please note only DBE certifications will be accepted by COG for this purpose).

COG, in accordance with Title VI of the Civil Rights Act of 1964 and 78 Stat. 252, 42 USC 2000 d – 42 and Title 49, Code of Federal Regulations, hereby notifies all bidders that it will affirmatively ensure that any contract entered pursuant to this advertisement will afford minority business enterprises full opportunity to submit bids in response to this invitation, and will not discriminate on the grounds of race, color, sex, or national origin in consideration for an award.

- 2. A total of 15 possible points (out of a maximum of 100 points) may be awarded for DBE participation, as measured in dollars, either as the Contractor or "Subcontractor". In the event of a tie score between two or more proposals, the proposal with the largest percentage of DBE participation, as measured in dollars, will be awarded the contract. DBE points are to be awarded as follows:

<u>PARTICIPATION</u>	<u>POINTS</u>
10% to 14%	3
15% to 19%	6
20% to 24%	9
25% to 34%	12
35% or more	15

Submissions with no DBE participation will receive 0 points in that scoring category.

- 3. Federal Law – CFR Part 26.37 (Monitoring Performance) requires COG to include a monitoring and enforcement mechanism to ensure that work committed to DBEs at task order award is performed by DBE's.

4. To comply with this requirement, the Contractor is required to provide to Rick Konrad, COG Contracts and Purchasing Manager: (1) a monthly DBE payment schedule for the PROJECT within 10 days of being awarded a COG Contract/Task Order (consistent with the DBE dollar value included in the Proposal/Task Order), (2) monthly DBE payment documentation is required by the 20th day of the month following the month the work was performed, and (3) documents verifying that the DBE vendor was paid the amount specified in the Proposal/Task Order within 30 days after the contract ends. Contractors failing to provide COG required DBE documentation or meet DBE monthly payments will not be allowed to bid on any COG projects/task orders until any deficiency is corrected. Contractors who fail to meet the total DBE payment for any project will be suspended from bidding on any COG contracts/task orders for six (6) months.

5. DBE Assurance – The Contractor or Subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The Contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of USDOT assisted contracts. Failure by the Contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

Note: All questions on the DBE requirements should be sent to Rick Konrad at rkonrad@mwcog.org or call 202.962.3332.

SAMPLE DBE PARTICIPATION PLAN

DBE SUBCONTRACTOR	PERCENTAGE OF CONTRACT
Subcontractor:	
Address:	
Certifying State:	DBE Certification #
Subcontractor:	
Address:	
Certifying State:	DBE Certification #
Subcontractor:	
Address:	
Certifying State:	DBE Certification #

XIV. RFQ 20-002 Response

Form DATE _____

Company Name - _____

Submission Check List and Required Forms -

ITEM	YES	NO
Attachment A - Acknowledge and accept Terms and Conditions	<input type="checkbox"/>	<input type="checkbox"/>
(if answered NO - Exceptions should be noted on a separate sheet)		
Attachment B - Certification Regarding Debarment	<input type="checkbox"/>	<input type="checkbox"/>
Attachment C - References	<input type="checkbox"/>	<input type="checkbox"/>
Accord Form - Certificate of Insurance provided as per the Terms and Conditions	<input type="checkbox"/>	<input type="checkbox"/>
Exceptions	<input type="checkbox"/>	<input type="checkbox"/>
(If yes please attach all on separate sheet(s) at the end of the RFQ response.)		

Addendums Acknowledged (if applicable) -

Addendum #1	YES <input type="checkbox"/>	NO <input type="checkbox"/>	N/A <input type="checkbox"/>
Addendum #2	YES <input type="checkbox"/>	NO <input type="checkbox"/>	N/A <input type="checkbox"/>

Others _____

*See please attach with next page and include after cover letter.

In submitting a bid in response to this RFQ, the authorized signatory below acknowledges having read and understood the entire solicitation and agrees to accept the Terms and Conditions set forth in this RFQ.

The signatory below represents that he/she has the authority to bind the entity named below to the response submitted and any contract awarded as a result of this solicitation.

Federal Tax ID No.: _____

NAME: _____

COMPANY: _____

ADDRESS: _____

TELEPHONE: _____ FAX: _____

EMAIL: _____

SIGNATURE: _____

TITLE: _____

Note: Unsigned responses may be disqualified. E-signatures are acceptable.

ATTACHMENT A

STANDARD TERMS AND CONDITIONS

- A. Energy Conservation. 42 U.S.C. § 6321 *et seq.*
The SUBRECIPIENT agrees to comply with mandatory standards and policies relating to energy efficiency, which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act.
- B. Clean Water Requirements. 33 U.S.C. § 1251 *et seq.*
1. The SUBRECIPIENT agrees to comply with all applicable standards, orders or regulations issued pursuant to the Federal Water Pollution Control Act, as amended. The SUBRECIPIENT agrees to report each violation to COG and understands and agrees that COG will, in turn, report each violation, as required, to assure notification to appropriate federal agencies including the appropriate EPA Regional Office.
 2. The SUBRECIPIENT also agrees to include these requirements in each subcontract exceeding \$100,000 financed in whole or in part with federal assistance.
- C. Lobbying. 31 U.S.C. § 1352 *et seq.*
(*To be submitted with each bid or offer exceeding \$100,000*)
1. The undersigned certifies, to the best of his or her knowledge and belief, that:
 2. No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of and federal contract, grant, loan, or cooperative agreement.
 3. If any funds other than federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions [as amended by "Government wide Guidance for New Restrictions on Lobbying," 61 Fed. Reg. 1413 (1/19/96)]. [Note: Language in paragraph (b) herein has been modified in

accordance with Section 10 of the Lobbying Disclosure Act of 1995. (P.L. 104-65, to be codified at 2 U.S.C. § 1601 *et seq.*)]

4. The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.
5. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction by 31 U.S.C. § 1352 (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. § 1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such expenditure or failure.]

Date: _____

The SUBRECIPIENT, _____, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the SUBRECIPIENT understands and agrees that the provisions of 31 U.S.C. § 3801 *et seq.* apply to this certification and disclosure, if any.

Authorized Official Signature of SUBRECIPIENT

Authorized Official Name of SUBRECIPIENT

Authorized Official Title of SUBRECIPIENT

D. Access to Records and Reports. 49 U.S.C. § 5325

1. The SUBRECIPIENT agrees to provide COG, and if applicable the state or federal funding agency, the Comptroller General of the United States or any of their authorized representatives access to any books, documents, papers and records of the SUBRECIPIENT which are directly pertinent to

this Contract for the purposes of making audits, examinations, excerpts and transactions.

2. The SUBRECIPIENT agrees to permit any of the foregoing parties to reproduce by any means whatsoever or to copy excerpts and transcriptions as reasonably needed.
3. The SUBRECIPIENT agrees to maintain all books, records, accounts and reports required under this Contract for a period of not less than three (3) years after the date of termination or expiration of this Contract, except in the event of litigation or settlement of claims arising from the performance of this Contract, in which case the SUBRECIPIENT agrees to maintain same until COG, the applicable state or federal funding agency, the Comptroller General, or any of their duly authorized representatives, have disposed of all such litigation, appeals, claims or exceptions related thereto.

E. Funding Agency Changes.

The SUBRECIPIENT shall at all times comply with all applicable state and federal agency regulations, policies, procedures and directives, including without limitation those listed directly or by reference in the funding agreement between such agency and COG, as they may be amended or promulgated from time to time during the term of this Contract. SUBRECIPIENT failure to comply shall constitute a material breach of this Contract.

F. Clean Air. 42 U.S.C. § 7401 *et seq.*

1. The Clean Air requirements apply to all contracts exceeding \$100,000, including indefinite quantities where the amount is expected to exceed \$100,000 in any year.
2. The SUBRECIPIENT agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. § 7401 *et seq.* The SUBRECIPIENT agrees to report each violation to COG and understands and agrees that COG will, in turn, report each violation as required to assure notification to the funding federal agency, if any, and the appropriate EPA regional office.
3. The SUBRECIPIENT also agrees to include these requirements in each subcontract exceeding \$100,000 financed in whole or in part with federal assistance.

G. Recycled Products. 42 U.S.C. § 6962

1. The Recycled Products requirements apply to all contracts for items designated by the EPA, when COG or the SUBRECIPIENT procures \$10,000 or more of one of these items during the fiscal year, or has procured

\$10,000 or more of such items in the previous fiscal year, using federal funds.

2. The SUBRECIPIENT agrees to comply with all requirements of Section 6002 of the Resource Conservation and Recovery Act (RCRA), as amended (42 U.S.C. § 6962), including but not limited to regulatory provisions of 40 C.F.R. Part 247, and Executive Order 12873, as they apply to the procurement of the items designated in Subpart B of 40 C.F.R. Part 247.

H. No Government Obligation to Third Parties.

1. The SUBRECIPIENT acknowledges and agrees that, notwithstanding any concurrence by the Federal Government in or approval of the solicitation or award of the underlying contract, absent the express written consent by the Federal Government, the Federal Government is not a party to this Contract and shall not be subject to any obligations or liabilities of COG, the SUBRECIPIENT, or any other person (whether or not a party to that contract) pertaining to any matter resulting from the underlying contract.
2. The SUBRECIPIENT agrees to include the above clause in each subcontract financed in whole or in part with federal assistance. It is further agreed that the clause shall not be modified, except to identify the SUBRECIPIENT that will be subject to its provisions.

I. Program Fraud and False or Fraudulent Statements and Related Acts.

31 U.S.C. § 3801 *et seq.*

1. The SUBRECIPIENT acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. § 3801 *et seq.* and all appropriate federal agency regulations apply to its actions pertaining to this PROJECT. Upon execution of the underlying contract, the SUBRECIPIENT certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or causes to be made, pertaining to the underlying contract of the federally assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, the SUBRECIPIENT further acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the SUBRECIPIENT or to the extent the Federal Government deems appropriate.
2. The SUBRECIPIENT also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification to the Federal Government under a contract connected with a project that is financed in whole or in part with federal assistance, the Federal Government reserves the right to impose the penalties of 18 U.S.C.

§ 1001 and 49 U.S.C. § 5307(N)(1) on the SUBRECIPIENT, to the extent the Federal Government deems appropriate.

3. The SUBRECIPIENT agrees to include the above two clauses in each subcontract financed in whole or in part with federal assistance. It is further agreed that the clause shall not be modified, except to identify the SUBRECIPIENT who will be subject to the provisions.

J. Termination. 49 U.S.C. Part 18

Applicable to all contracts in excess of \$10,000

1. Termination for Convenience. COG, by written notice, may terminate this Contract, in whole or in part, at any time by written notice to the SUBRECIPIENT when it is in COG's best interest. If this Contract is terminated, COG shall be liable only for payment under the payment provisions of this Contract for services rendered before the effective date of termination.
2. Termination for Default [Breach or Cause]. If the SUBRECIPIENT fails to perform in the manner called for in this Contract, or if the SUBRECIPIENT fails to comply with any other provisions of the Contract, COG may terminate this Contract for default. Termination shall be effected by serving a notice of termination on the SUBRECIPIENT setting forth the manner in which the Contract is in default. The SUBRECIPIENT will only be paid the contract price for services performed in accordance with the manner of performance set forth in the Contract. If it is later determined by COG that the SUBRECIPIENT had an excusable reason for not performing, such as strike, fire, or flood, events which are beyond the control of the SUBRECIPIENT, COG, after setting up a new delivery of performance schedule, may allow the SUBRECIPIENT to continue work, or treat the termination as a termination for convenience.
3. COG in its sole discretion may, in the case of termination for breach or default, allow the SUBRECIPIENT ten (10) working days in which to cure the defect. In such case, the notice of termination will state the time period in which cure is permitted and other appropriate conditions.

If the SUBRECIPIENT fails to remedy to COG's satisfaction the breach or default of any of the terms, covenants, or conditions of this Contract within the ten (10) working days after receipt by the SUBRECIPIENT of written notice from COG setting forth the nature of said breach or default, COG shall have the right to terminate the Contract without further obligation to the SUBRECIPIENT. Any such termination for default shall not in any way operate to preclude COG from also pursuing all available remedies against the SUBRECIPIENT and its sureties for said breach or default.

4. In the event COG elects to waive its remedies for any breach by the SUBRECIPIENT of any covenant, term or condition of this Contract, such waiver by COG shall not limit COG's remedies for any succeeding breach of that or any other term, covenant, or condition of this Contract.
- K. Civil Rights Requirements. 29 U.S.C. § 62, 42 U.S.C. § 2000, 42 U.S.C. § 602, 42 U.S.C. § 12112, 42 U.S.C. § 12132, 49 U.S.C. § 5332
1. Nondiscrimination. In accordance with Title VI of the Civil Rights Act, as amended, 42 U.S.C. § 2000d, section 303 of the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6102, section 202 of the Americans with Disabilities Act of 1990, 42 U.S.C. § 12132, and all other provisions of federal law, the SUBRECIPIENT agrees that it will not discriminate against any employee or applicant for employment because of race, color, creed, national origin, sex, age, or disability. In addition, the SUBRECIPIENT agrees to comply with applicable federal implementing regulations. The clauses of Appendix A and E of the U.S. DOT Standard Title VI Assurances (USDOT 1050.2A) are incorporated herein by reference.
 2. Equal Employment Opportunity. The following equal employment opportunity requirements apply to the underlying contract:
 - a. Race, Color, Creed, National Origin, Sex. In accordance with Title VII of the Civil Rights Act, as amended, 42 U.S.C. § 2000e, the SUBRECIPIENT agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (U.S DOL) regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," 41 C.F.R. Parts 60 *et seq.* (which implement Executive Order No. 11246, "Equal Employment Opportunity," as amended by Executive Order No. 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," 42 U.S.C. § 2000e note), and with any applicable federal statutes, executive orders, regulations, and federal policies that may in the future affect activities undertaken in the course of this PROJECT. The SUBRECIPIENT agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, creed, national origin, sex, or age. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the SUBRECIPIENT agrees to comply with apprenticeship. In addition, the SUBRECIPIENT agrees to comply with any implementing requirements the funding federal agency may issue.
 - b. Age. In accordance with section 4 of the Age Discrimination in Employment Act of 1967, as amended, 29 U.S.C. § 623 and other applicable law, the SUBRECIPIENT agrees to refrain from discrimination

against present and prospective employees for reason of age. In addition, the SUBRECIPIENT agrees to comply with any implementing requirements the funding federal agency may issue.

- c. Disabilities. In accordance with section 102 of the Americans with Disabilities Act, as amended, 42 U.S.C. § 12112, the SUBRECIPIENT agrees that it will comply with the requirements of U.S. Equal Employment Opportunity Commission, "Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act," 29 C.F.R. Part 1630, pertaining to employment of persons with disabilities. In addition, the SUBRECIPIENT agrees to comply with any implementing requirements the funding federal agency may issue.

3. The SUBRECIPIENT also agrees to include these requirements in each subcontract financed in whole or in part with federal assistance, modified only if necessary to identify the affected parties.

L. Breaches and Dispute Resolution.

1. Disputes. Disputes arising in the performance of this Contract which are not resolved by agreement of the parties shall be decided in writing by the COG Executive Director or his/her designee. This decision shall be final and conclusive, unless within ten (10) working days from the date of receipt of its copy, the SUBRECIPIENT mails or otherwise furnishes a written appeal to the Executive Director or his/her designee. In connection with any such appeal, the SUBRECIPIENT shall be afforded an opportunity to be heard and to offer evidence in support of its position. The decision of the Executive Director or his/her designee shall be binding upon the SUBRECIPIENT, and the SUBRECIPIENT shall abide the decision.
2. Performance During Dispute. Unless otherwise directed by COG, the SUBRECIPIENT shall continue performance under this Contract while matters in dispute are being resolved.
3. Claim for Damages. Should either party to the Contract suffer injury or damage to person or property because of any act or omission of the party or of any of its employees, agents or others for acts it is legally liable, a claim for damages therefore shall be made in writing to such other party within a reasonable time after the first observance of such injury or damage.
4. Remedies. Unless this Contract provides otherwise, all claims, counterclaims, disputes and other matters in question between COG and the SUBRECIPIENT arising out of or relating to this agreement or its breach may be submitted by the parties for arbitration if the parties mutually agree, otherwise, such claims, counterclaims, disputes and other matters shall be decided by a court of competent jurisdiction within the District of Columbia.

5. Rights and Remedies. The duties and obligations imposed by the Contract and the rights and remedies available there under shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law. No action or failure to act by COG or the SUBRECIPIENT shall constitute a waiver or any right or duty afforded to them under the Contract, nor shall any such action or failure to act constitute an approval of or acquiescence in any breach there under, except as may be specifically agreed in writing.

M. Patent and Rights in Data.

1. Rights in Data. The following requirements apply to each contract involving experimental, developmental or research work:
 - a. The term "subject data" used in this clause means recorded information, whether or not copyrighted, that is delivered or specified to be delivered under the contract. The term includes graphic or pictorial delineation in media such as drawings or photographs; text in specifications or related performance or design-type documents; CDs or flash drives (thumbsticks/thumbdrives) containing data; and any other information retained in computer memory. Examples include, but are not limited to: computer software, engineering drawings and associated lists, specifications, standards, process sheets, manuals, technical reports, catalog item identifications, and related information. The term "subject data" does not include financial reports, cost analyses, and similar information incidental to contract administration.
 - b. The following restrictions apply to all subject data first produced in the performance of the contract to which this Attachment has been added:
 - i. In accordance with 49 C.F.R. § 18.34 and 49 C.F.R. § 19.36, the Federal Government reserves a royalty-free, non-exclusive and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to use, for "Federal Government purposes," any subject data or copyright described in subsections M.2.a and M.2.b of this clause below. As used in the previous sentence, "for Federal Government purposes," means use only for the direct purposes of the Federal Government. Without the copyright owner's consent, the Federal Government may not extend its federal license to any other party.
 - (1) Any subject data developed under that contract, whether or not a copyright has been obtained; and
 - (2) Any rights of copyright purchased by the Purchaser or the SUBRECIPIENT using federal assistance.

2. Patent Rights. The following requirements apply to each contract involving experimental, developmental, or research work:
- a. General - If any invention, improvement, or discovery is conceived or first actually reduced to practice in the course of or under the contract to which this Attachment has been added, and that invention, improvement, or discovery is patentable under the laws of the United States of America or any foreign country, the Purchaser and the SUBRECIPIENT agree to take actions necessary to provide immediate notice and a detailed report to the party at a higher tier until the federal funding agency is ultimately notified.
 - b. Unless the Federal Government later makes a contrary determination in writing, irrespective of the SUBRECIPIENT status (a large business, small business, state government or state instrumentality, local government, nonprofit organization, institution of higher education, individual), the Purchaser and the SUBRECIPIENT agree to take the necessary actions to provide, through the federal funding agency, those rights in that invention due the Federal Government as described in the U.S. Department of Commerce regulations, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," 37 C.F.R. Part 401.
 - c. The SUBRECIPIENT also agrees to include the requirements of this clause in each subcontract for experimental, developmental, or research work financed in whole or in part with federal assistance.

N. Interest of Members of Congress.

No member of, or delegates to, the Congress of the United States shall be admitted to a share or part of this Contract or to any benefit arising there from.

O. Interest of Employees of COG.

No employee of COG who exercises any functions or responsibilities in review or approval of the undertaking or carrying out the PROJECT during his or her tenure or one (1) year thereafter, shall have any personal interest, direct or indirect, apart from his or her official duties, in this Contract or the proceeds thereof.

P. Interest of the SUBRECIPIENT.

The SUBRECIPIENT covenants that it has presently no financial interest, shall not acquire any financial interest, direct or indirect, which would conflict in any manner or degree with the performance of services required to be performed under this Contract. The SUBRECIPIENT further covenants that, in the performance of this Contract, no person having any such interest shall be

employed.

Q. Allowable Costs.

Only those costs which are consistent with Title 2 Part 200 of the Code of Federal Regulations shall be reimbursed under this Contract.

R. Covenant Against Contingent Fees.

The SUBRECIPIENT warrants that it has not employed any person to solicit or secure this Contract upon any agreement for a commission, percentage, brokerage or contingent fee. Breach of warranty shall give the Contracts Officer the right to terminate this Contract or, in his discretion, to deduct from the Contract price or consideration the amount of such commission, percentage, brokerage or contingent fees. This warranty shall not apply to commissions payable by the SUBRECIPIENT upon contracts or sales secured or made through a bona fide established commercial or selling agency maintained by the SUBRECIPIENT for the purpose of securing business.

S. Insurance Requirements

1. SUBRECIPIENT shall obtain, and at all times keep in effect, Commercial General Liability Insurance in the amounts listed below for its activities and operations. The insurance shall include coverage for personal injury, discrimination and civil rights violation claims. All such insurance shall name MWCOG, as ADDITIONAL INSURED.
2. A copy of the certificate of insurance shall be filed with MWCOG prior to the time any services are rendered. SUBRECIPIENT shall maintain coverage in the amount of \$1,000,000 per occurrence and \$2,000,000 general aggregate and \$500,000 for property damage. Coverage shall be written on an occurrence form.
3. SUBRECIPIENT shall obtain, and at all times keep in effect, automobile insurance on all vehicles used in this Contract with MWCOG to protect SUBRECIPIENT against claims for damages resulting from bodily injury, including wrongful death, and property damage that may arise from the operations of any owned or hired automobiles used by SUBRECIPIENT in connection with the carrying out of this Contract. All such insurance shall name MWCOG as ADDITIONAL INSURED.
4. SUBRECIPIENT shall, upon request, provide MWCOG with certification of Workers' Compensation Insurance, with employer's liability in the minimum amount required the governing jurisdiction's law in effect for each year of this Contract.
5. All insurance policies shall have a minimum 30 days' notice of cancellation. Immediate written notice to MWCOG and members involved in the contract shall be required in the event of cancellation or restriction by the insurance company of any insurance policy referred to in this section.

6. When insurance coverage is renewed, SUBRECIPIENT shall provide new certificates of insurance prior to expiration of current policies to all contracting agencies.

T. Indemnification.

The SUBRECIPIENT, acting as an independent SUBRECIPIENT, shall hold COG harmless from and shall be solely responsible, where found liable, for the payment of any and all claims for loss, personal injury, death, property damage, or otherwise, arising out of any act of omission or negligence of its employees or agents in connection with the performance of this work.

U. Severability.

It is understood and agreed by the parties that if any of these provisions shall contravene, or be invalid under, the laws of the particular state, county or jurisdiction where used, such contravention or invalidity shall not invalidate the whole agreement, but the Contract shall be construed as of not containing the particular provision or provisions held to be invalid in the said particular state, county or jurisdiction and the rights and obligations of the parties shall be construed and enforced accordingly.

V. Assignments.

This Contract shall not be assigned, sublet or transferred in whole or in part by the SUBRECIPIENT, except with the previous written consent of the COG Contracting Officer or his designee.

W. Entire Agreement.

This Contract sets forth the entire understanding of the parties and supersedes all previous agreements, whether oral or in writing, relating to the subject matter hereof. This Contract may only be altered, amended or modified in accordance with Changes Clause of this Contract.

X. Confidential or Personal Data.

1. COG respects the privacy or business interests involved in confidential or personal data. It is COG's policy to obtain confidential or personal data or store or allow storage of such data only (i) when necessary to fulfill COG's information-gathering and data collection responsibilities, or (ii) in conjunction with COG projects. COG intends to minimize risk of disclosure of such confidential or personal data.
2. Whenever feasible and the requirements of a project allow, the names of survey participants or users of a website or other data collection method shall not be accepted, recorded, stored or retained.

3. When COG engages in a project, which involves the collection or storage of confidential or personal information by or through use of surveys, websites or by other data collection, the following conditions shall be met:
- a. The survey, website or other collection method shall contain a set of conditions for use and a disclaimer of any COG liability for use, in language approved by COG in writing.
 - b. The party(ies) working with COG shall demonstrate adherence to a federal or applicable state standard for protecting confidential or personal information.
 - c. The confidential or personal information collected or stored by or through the survey, website or other data collection shall be kept confidential. All necessary steps shall be taken to protect the privacy of the users of the website or other data collection. Any confidential or personal information provided by users of the website or other data collection, including but not limited to their names and addresses, shall be protected.
 - d. COG shall retain control over and ownership of all surveys, web pages, control files and scripts, database schema, and database contents, in addition to all content which is published on or stored by the website or other data collection, unless COG specifically agrees in writing otherwise.
 - e. No release of any announcements intended for public dissemination concerning the collection or storage of such information by or through the survey, website or other data collection shall occur until COG has given prior written authorization, unless COG specifically agrees in writing otherwise.
 - f. In the event that information collected or stored by or through the survey, website or other data collection shall be stolen or handled incorrectly, the party(ies) working with COG on the PROJECT shall be responsible for any required notification to persons who have entered personal information in that system and all costs related thereto.
 - g. The PROJECT documents shall provide that other parties working with COG on the survey, website or other data collection or storage shall indemnify COG with at least the following commitment:

The [SUBRECIPIENT or other party] shall indemnify and hold COG harmless from and shall be solely responsible, for the payment of any and all claims for loss, personal injury, death, property damage, infringement or misappropriation of any third party's intellectual property rights, violation of privacy, confidentiality or otherwise, arising out of any act of omission or negligence of its employees or agents in

connection with the performance of the work under this [agreement or memorandum of understanding].

- h. At the end of the project or contract, any personal or confidential information shall be given to COG or destroyed and a certification of destruction provided to COG by the SUBRECIPIENT or other party.

Y. COG's Policies and Procedures.

When federal law, or any grant conditions, certifications or assurances require COG to utilize competitive procurement procedures for selection of a SUBRECIPIENT, COG's policies and procedures shall govern every aspect of the SUBRECIPIENT selection process, e.g., the solicitation, evaluation, award, and post-award process (including, without limitation, any protest of an award, and the terms and conditions under which a contract may be approved, executed and administered). Any SUBRECIPIENT and potential SUBRECIPIENT will be provided with a copy of such policies and procedures, on request.

Z. COG's Information Technology Policy.

Contractors that must access COG's Information Technology systems or require a COG login account to perform their duties must adhere to COG's Information Technology Policies and Procedures. Such contractors will receive a copy of the policies and procedures prior to receiving access to COG's IT systems.

AA. COG's Facilities, Policies, and Procedures.

Contractors that must use any of COG's facilities or equipment must adhere to COG's Facilities, Policies and Procedures. Contractors that utilize any AV or IT equipment through the use of COG's facilities shall also comply with COG's IT Policy. Such contractors will receive a copy of all relevant procedures prior to receiving access to COG's IT systems.

BB. Additional Requirements.

In addition to the terms and conditions expressly referenced in this Contract, the SUBRECIPIENT acknowledges and agrees that the terms and conditions of any federal or state grant that provides funding for this Contract, in whole or in part, shall apply to and shall govern the parties' rights and obligations under this Contract and shall be deemed additional terms, conditions and requirements of this Contract.

CC. DBE Assurance.

The SUBRECIPIENT or _____ shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. The SUBRECIPIENT shall carry out applicable requirements of 49 C.F.R. Part 26 in the award and administration of USDOT-assisted contracts.

Failure by the SUBRECIPIENT to carry out these requirements is a material breach of this Contract, which may result in the termination of this Contract or such other remedy as the COG deems appropriate.

DD. Audits.

Per the Enhanced Mobility Circular, COG as the Designated Recipient for Enhanced Mobility funds will collect A-133 audit reports from the SUBRECIPIENT receiving more than \$750,000 in federal funds. At a minimum, the SUBRECIPIENT is required to bring to COGTPB's attention any audit findings relevant to its use of FTA funds. The SUBRECIPIENT is not subject to A-133 audit requirements and may require additional monitoring, in a format elected by COG, to ensure compliance.

EE. FFATA Reporting.

1. The Federal Funding Accountability and Transparency Act ("FFATA") requires prime recipients of federal grants and contracts to report sub-award and executive compensation data. COG is the prime recipient of federal awards for the purposes of this policy and is responsible for reporting sub-award data.
2. COG and first-tier sub-awardees are required to maintain current registration in the System for Awards Management ("SAM") as well as obtain a DUNS number. COG is responsible for filing the report in the FSRS system, not sub-awardees. However, sub-award recipients must provide the following information to COG before they will be eligible to receive the sub-award:
 - a. The entity's information
 - b. Description and/or title of the sub-award (including NAICS code or CFDA number)
 - c. Date and amount of award
 - d. Location of the entity receiving the award and the primary location of performance under the award, including the city, state, congressional district, and country
 - e. Active and current SAM unique identifier
 - f. DUNS number
 - g. Names and total compensation of the five (5) highest paid officers/executives of the sub-recipient if all three criteria are met:

i. Federal awards make up 80% or more of the SUBRECIPIENT's annual gross revenues

ii. the SUBRECIPIENT's annual gross revenue from federal awards is \$25 million or more

iii. the SUBRECIPIENT's officer names are not publicly available and the public does not have access to data on executive compensation of the entity through the Securities and Exchange Commission (SEC) as described in further detail in OMB Guidance on Sub-award and Executive Compensation Reporting (August 27, 2010)

(COG, as the prime recipient of the federal award, must also report its own executive compensation data by the end of the month following the award if the same criterion noted above is met.)

FF. Priority of Requirements.

In the event of a conflict between or among any of the terms, conditions and requirements applicable to this Contract, the conflict shall be resolved by giving weight in accordance with the following priorities, in the order as stated below:

1. Terms and conditions of any grant that provides funding for this Contract, in whole or in part;
2. Terms and conditions set forth or referenced within this Contract;
3. Terms and conditions and representations set forth or referenced within Attachments A and F to this Contract;
4. Terms, conditions, specifications, and requirements set forth within any solicitation (e.g., RFP or IFB) pursuant to which this Contract was awarded;
5. Offers, representations, promises, terms and conditions set forth with the bid or proposal submitted in response to any solicitation (e.g., RFP or IFB) pursuant to which this Contract was awarded.

ATTACHMENT B
CERTIFICATION REGARDING
DEBARMENT, SUSPENSION,
AND OTHER RESPONSIBILITY MATTERS

The prospective vendor certifies to the best of its knowledge and belief that it and its principals:

- Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any department or agency of the District of Columbia, State of Maryland or the Commonwealth of Virginia or any of the 22 jurisdictions comprising the membership of the Metropolitan Washington Council of Governments (COG);
- Have not within a three year period preceding this date been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State or local) with commission of any of the offenses enumerated above of this certification; and
- Have not within a three-year period preceding this date had one or more public transactions (Federal, State or local) terminated for cause or default.

Vendor understands that a false statement on this certification may be grounds for rejection of any submitted proposal or quotation or termination of any award. In addition, under 18

U.S.C. § 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both if federal funds are being used to support the procurement.

Typed Name of Vendor

Typed Name & Title of Authorized Representative

Signature of Authorized Representative

Date

ATTACHMENT C

CONTRACT REFERENCES

CONTRACTOR: _____

PROVIDE A MINIMUM OF THREE (3) REFERENCES FROM CUSTOMERS THAT ARE CAPABLE OF DISCUSSING YOUR COMPANY'S ABILITY TO PERFORM CONTRACTS OF COMPARABLE SIZE AND SCOPE. It is imperative that accurate

contact names and phone numbers be given for the projects listed. All references should include a contact person who can comment on the company's ability to perform the services required under this contract. The company should insure that telephone numbers and contact names given are up-to-date and accurate.

Reference Number 1

1. Name of Client Organization: _____

2. Name and Title of Point of Contact (POC) for Client Organization:

3. Phone Number of POC: _____

4. Approximate Value of Contract: _____

5. Duration of Contract: _____

6. Description of Services Provided:

Reference Number 2

1. Name of Client Organization: _____

2. Name and Title of Point of Contact (POC) for Client Organization:

3. Phone Number of POC: _____

4. Approximate Value of Contract: _____

5. Duration of Contract: _____

6. Description of Services Provided:

Reference Number 3

1. Name of Client Organization: _____
2. Name and Title of Point of Contact (POC) for Client Organization:

3. Phone Number of POC: _____
4. Approximate Value of Contract: _____
5. Duration of Contract: _____
6. Description of Services Provided:

Reference Number 4

1. Name of Client Organization: _____
2. Name and Title of Point of Contact (POC) for Client Organization:

3. Phone Number of POC: _____
4. Approximate Value of Contract: _____
5. Duration of Contract: _____
6. Description of Services Provided:

Reference Number 5

1. Name of Client Organization: _____
2. Name and Title of Point of Contact (POC) for Client Organization:

3. Phone Number of POC: _____
4. Approximate Value of Contract: _____
5. Duration of Contract: _____
6. Description of Services Provided: